

## TAX RETURN FILING INSTRUCTIONS

### PUBLIC INSPECTION COPY

Prepared by	Grant Thornton LLP 1000 Wilson Boulevard, Suite 1400 Arlington, VA 22209
Special Instructions	Returns should be signed and dated by the appropriate officer(s).  Exempt organizations are required to provide copies of their most recent Forms 990, and their Application for Recognition of Exemption (Form 1023 or 1024) for public inspection upon request. Charities must also make available Forms 990-T filed after August 17, 2006. Schedules, attachments, and supporting documents filed with Form 990-T that do not relate to the imposition of unrelated business income tax are not required to be made available for public inspection and copying (e.g. Form 5471, <i>Information Return of U.S. Persons With Respect to Certain Foreign Corporations</i> and Form 8886, <i>Reportable Transaction Disclosure Statement</i> ). Forms 990 and 990-T must be made available for the three-year period beginning on the last day prescribed for filing such return (determined with regard to any extension of time for filing). The names of any contributors should not be disclosed, so we have deleted them.
Application for Recognition of Exemption	The copy of the Application for Recognition of Exemption must include any papers submitted in support of such application and any letter or other document issued by the Internal Revenue Service with respect to such application.  An organization that submitted its Form 1023 or 1024 on or before July 15, 1987 must make this form available for public inspection only if they had a copy of the Application on July 15, 1987.
Requests made in person	In the request is made in person, the organization must respond by the end of the business day.
Requests made in writing	If the request is made in writing, the organization must respond within 30 days.
Fees charged for copies	The organization can make a reasonable charge for copying and posting. The regulations limit the copying charge to that charged by the IRS for providing copies, currently \$0.20 for each page.
What if we post Form 990 on our website?	The requirement to provide copies can be eliminated if the organization posts the relevant documents on its website. The public must be able to download the documents and print them in the exact form they were filed with the IRS (except for disclosing contributors). The download must be free and use software that is available without charge. Even if the documents are posted on the web, the organization must still have a copy available for inspection at its offices.
What if we fail to comply with requests?	The IRS may impose significant monetary penalties on an organization that does not adhere to the disclosure requirements.

## Cumulative e-File History 2016

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Federal

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<b>Tax Return</b> 90340H	<b>Return Type</b> 990
<b>Taxpayer</b> World Resources Institute	

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<b>Submitted Date</b>	2018-05-03 14:09:16
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<b>Acknowledgement Date</b>	2018-05-03 14:28:29
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<b>Status</b>	Accepted
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<b>Submission ID</b>	54681420181235000001
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# IRS e-file Signature Authorization for an Exempt Organization

For calendar year 2016, or fiscal year beginning 10/01, 2016, and ending 09/30, 20 17

▶ Do not send to the IRS. Keep for your records.

▶ Information about Form 8879-EO and its instructions is at [www.irs.gov/form8879eo](http://www.irs.gov/form8879eo).

# 2016

Department of the Treasury  
Internal Revenue Service

Name of exempt organization

**WORLD RESOURCES INSTITUTE**

Employer identification number

**52-1257057**

Name and title of officer

**STEVEN BARKER, VP AND CFOO**

### Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a, below, and the amount on that line for the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than 1 line in Part I.

1a	Form 990 check here	▶	<input checked="" type="checkbox"/>	b	Total revenue, if any (Form 990, Part VIII, column (A), line 12)	1b	<u>93460265.</u>
2a	Form 990-EZ check here	▶	<input type="checkbox"/>	b	Total revenue, if any (Form 990-EZ, line 9)	2b	
3a	Form 1120-POL check here	▶	<input type="checkbox"/>	b	Total tax (Form 1120-POL, line 22)	3b	
4a	Form 990-PF check here	▶	<input type="checkbox"/>	b	Tax based on investment income (Form 990-PF, Part VI, line 5)	4b	
5a	Form 8868 check here	▶	<input type="checkbox"/>	b	Balance Due (Form 8868, line 3c)	5b	

### Part II Declaration and Signature Authorization of Officer

Under penalties of perjury, I declare that I am an officer of the above organization and that I have examined a copy of the organization's 2016 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the organization's electronic return and, if applicable, the organization's consent to electronic funds withdrawal.

Officer's PIN: check one box only

I authorize GRANT THORNTON LLP to enter my PIN 14241 as my signature  
ERO firm name

Enter five numbers, but do not enter all zeros

on the organization's tax year 2016 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

As an officer of the organization, I will enter my PIN as my signature on the organization's tax year 2016 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Officer's signature ▶

Date ▶

4/17/18

### Part III Certification and Authentication

ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN.

54681436605

do not enter all zeros

I certify that the above numeric entry is my PIN, which is my signature on the 2016 electronically filed return for the organization indicated above. I confirm that I am submitting this return in accordance with the requirements of Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns.

ERO's signature ▶

Date ▶

04/12/2018

**ERO Must Retain This Form - See Instructions  
Do Not Submit This Form To the IRS Unless Requested To Do So**

For Paperwork Reduction Act Notice, see back of form.

Form **990**

Department of the Treasury  
Internal Revenue Service

# Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

- ▶ Do not enter Social Security numbers on this form as it may be made public.
- ▶ Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

# 2016

Open to Public Inspection

**A** For the 2016 calendar year, or tax year beginning 10/01, 2016, and ending 09/30, 2017

<b>B</b> Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<b>C</b> Name of organization WORLD RESOURCES INSTITUTE			<b>D</b> Employer identification number 52-1257057
	Doing Business As			<b>E</b> Telephone number (202) 729-7600
	Number and street (or P.O. box if mail is not delivered to street address)		Room/suite	<b>G</b> Gross receipts \$ 129,878,609.
	10 G STREET, NE			
City or town, state or province, country, and ZIP or foreign postal code WASHINGTON, DC 20002				<b>H(a)</b> Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>H(b)</b> Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions)
<b>F</b> Name and address of principal officer: ANDREW STEER 10 G STREET, NE WASHINGTON, DC 20002				
<b>I</b> Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) ( ) ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		<b>J</b> Website: WWW.WRI.ORG		
<b>K</b> Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶			<b>L</b> Year of formation: 1982	<b>M</b> State of legal domicile: DE

## Part I Summary

<b>Activities &amp; Governance</b>	<b>1</b> Briefly describe the organization's mission or most significant activities: WRI'S MISSION IS TO MOVE HUMAN SOCIETY TO LIVE IN WAYS THAT PROTECT EARTH'S ENVIRONMENT AND ITS CAPACITY TO PROVIDE FOR THE NEEDS & ASPIRATIONS OF CURRENT AND FUTURE GENERATIONS.				
	<b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.				
	<b>3</b> Number of voting members of the governing body (Part VI, line 1a)	<b>3</b>	32.		
	<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b)	<b>4</b>	31.		
	<b>5</b> Total number of individuals employed in calendar year 2016 (Part V, line 2a)	<b>5</b>	490.		
	<b>6</b> Total number of volunteers (estimate if necessary)	<b>6</b>	31.		
	<b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12	<b>7a</b>	2,624.		
<b>b</b> Net unrelated business taxable income from Form 990-T, line 34	<b>7b</b>	-2,626.			
<b>Revenue</b>	<b>8</b> Contributions and grants (Part VIII, line 1h)	Prior Year	97,506,285.	Current Year	86,599,329.
	<b>9</b> Program service revenue (Part VIII, line 2g)		0.		0.
	<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d)		2,711,734.		7,567,493.
	<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		-878,947.		-706,557.
	<b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)		99,339,072.		93,460,265.
	<b>Expenses</b>	<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3)		24,053,673.	
<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4)			0.		0.
<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)			33,534,315.		41,045,089.
<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e)			0.		0.
<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ 2,700,737.					
<b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)			32,235,856.		29,963,733.
<b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)		89,823,844.		94,564,842.	
<b>19</b> Revenue less expenses. Subtract line 18 from line 12		9,515,228.		-1,104,577.	
<b>Net Assets or Fund Balances</b>	<b>20</b> Total assets (Part X, line 16)	Beginning of Current Year	108,201,902.	End of Year	105,616,805.
	<b>21</b> Total liabilities (Part X, line 26)		17,455,768.		18,657,526.
	<b>22</b> Net assets or fund balances. Subtract line 21 from line 20.		90,746,134.		86,959,279.

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	Signature of officer	04/17/2018
	Type or print name and title	Date

<b>Paid Preparer Use Only</b>	Print/Type preparer's name MARY TORRETTA	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN P00847851
	Firm's name ▶ GRANT THORNTON LLP	Firm's EIN ▶ 36-605558		Phone no. 703-847-7500	
	Firm's address ▶ 1000 WILSON BLVD, SUITE 1400 ARLINGTON, VA 22209				

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

For Paperwork Reduction Act Notice, see the separate instructions. Form **990** (2016)

# Application for Automatic Extension of Time To File an Exempt Organization Return

► **File a separate application for each return.**  
► **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile), click on Charities & Non-Profits, and click on e-file for *Charities and Non-Profits*.

**Automatic 6-Month Extension of Time.** Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	WORLD RESOURCES INSTITUTE	52-1257057
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
10 G STREET, NE		
City, town or post office, state, and ZIP code. For a foreign address, see instructions.		
WASHINGTON, DC 20002		

Enter the Return Code for the return that this application is for (file a separate application for each return) . . . . .

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STEVE BARKER, CFO

• The books are in the care of ► 10 G STREET, NE, 8TH FLOOR WASHINGTON DC 20002

Telephone No. ► 202 729-7679 Fax No. ►

• If the organization does not have an office or place of business in the United States, check this box

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . . . . .  . If this is for the whole group, check this box  . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 6-month extension of time until 08/15, 2018, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

►  calendar year 20\_\_ or  
►  tax year beginning 10/01, 2016, and ending 09/30, 2017.

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  
 Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	0.
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	0.
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	0.

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

**Cumulative E-File History 2016**

**FED**

Locator: 90340H  
Taxpayer Name: WORLD RESOURCES INSTITUTE  
Return Type: 990, 990

**Submitted Date** 2/8/2018 12:30:14 PM  
**Acknowledgement Date** 2/8/2018 12:56:14 PM  
**Status** Accepted  
**Submission ID** 54681420180395000002

**Print**

**Close**

**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III  Yes  No

**1** Briefly describe the organization's mission:

SEE SCHEDULE O

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  Yes  No

If "Yes," describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes  No

If "Yes," describe these changes on Schedule O.

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

**4a** (Code: ) (Expenses \$ 37,070,728. including grants of \$ 12,119,664. ) (Revenue \$ )  
FOOD, FOREST AND WATER PROGRAM - SEE SCHEDULE O

**4b** (Code: ) (Expenses \$ 19,371,314. including grants of \$ 8,782,684. ) (Revenue \$ )  
WRI ROSS CENTER FOR SUSTAINABLE CITIES - SEE SCHEDULE O

**4c** (Code: ) (Expenses \$ 11,601,794. including grants of \$ 774,036. ) (Revenue \$ )  
CLIMATE PROGRAM - SEE SCHEDULE O

**4d** Other program services (Describe in Schedule O.)

(Expenses \$ 17,121,258. including grants of \$ 1,879,636. ) (Revenue \$ )

**4e** Total program service expenses ▶ 85,165,094.

**Part IV Checklist of Required Schedules**

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A.</i> . . . . .	X	
<b>2</b> Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)? . . . . .	X	
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I.</i> . . . . .		X
<b>4 Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II.</i> . . . . .	X	
<b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III.</i> . . . . .		X
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I.</i> . . . . .		X
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II.</i> . . . . .		X
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III.</i> . . . . .		X
<b>9</b> Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV.</i> . . . . .	X	
<b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V.</i> . . . . .	X	
<b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
<b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i> . . . . .	X	
<b>b</b> Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i> . . . . .		X
<b>c</b> Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII.</i> . . . . .		X
<b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX.</i> . . . . .		X
<b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i> . . . . .	X	
<b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X.</i> . . . . .	X	
<b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII.</i> . . . . .		X
<b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional.</i> . . . . .	X	
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E.</i> . . . . .		X
<b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States? . . . . .	X	
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV.</i> . . . . .	X	
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV.</i> . . . . .	X	
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV.</i> . . . . .		X
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> (see instructions). . . . .		X
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II.</i> . . . . .		X
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III.</i> . . . . .		X



**Part IV Checklist of Required Schedules (continued)**

	Yes	No
<b>20a</b> Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H.</i> . . . . .		X
<b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? . . . . .		
<b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II.</i> . . . . .	X	
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III.</i> . . . . .		X
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J.</i> . . . . .	X	
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a.</i> . . . . .		X
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .		
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .		
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .		
<b>25a</b> <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I.</i> . . . . .		X
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I.</i> . . . . .		X
<b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II.</i> . . . . .		X
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III.</i> . . . . .		X
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>a</b> A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV.</i> . . . . .		X
<b>b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV.</i> . . . . .		X
<b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV.</i> . . . . .		X
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M.</i> . . . . .		X
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M.</i> . . . . .		X
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I.</i> . . . . .		X
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II.</i> . . . . .		X
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I.</i> . . . . .		X
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1.</i> . . . . .	X	
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)? . . . . .	X	
<b>b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2.</i> . . . . .	X	
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2.</i> . . . . .		X
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI.</i> . . . . .		X
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O.	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V [X]

Table with columns for line numbers (1a-14b), descriptions, and Yes/No checkboxes. Includes entries for Form 1096, Form W-2G, Form W-3, Form 990-T, Form 8886-T, Form 8282, Form 8899, Form 1098-C, Form 990, Form 720, and Form 702.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include 1a (32), 1b (31), 2, 3, 4, 5, 6, 7a, 7b, 8a, 8b, 9.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include 10a, 10b, 11a, 11b, 12a, 12b, 12c, 13, 14, 15a, 15b, 16a, 16b.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed ATTACHMENT 2
18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, address, and telephone number of the person who possesses the organization's books and records: WILFRED YARTEY 10 G STREET, NE WASHINGTON, DC 20002 202-729-7929

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII. . . . .  X

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) ANDREW STEER PRESIDENT & CEO	40.00 0.	X		X				436,034.	0.	32,566.
(2) JAMES A. HARMON CHAIRMAN	1.00 0.	X						0.	0.	0.
(3) HARRIET BABBITT VICE CHAIR EMERITUS	1.00 0.	X						0.	0.	0.
(4) WILLIAM D. RUCKELSHAUS CHAIRMAN EMERITUS	1.00 0.	X						0.	0.	0.
(5) DR. ALICE F. EMERSON VICE CHAIR EMERITUS	1.00 0.	X						0.	0.	0.
(6) DR. DINO PATTI DJALAL DIRECTOR	1.00 0.	X						0.	0.	0.
(7) FRANCES G. BEINECKE DIRECTOR	1.00 0.	X						0.	0.	0.
(8) ROBIN CHASE DIRECTOR	1.00 0.	X						0.	0.	0.
(9) TIFFANY CLAY DIRECTOR	1.00 0.	X						0.	0.	0.
(10) DANIEL L. DOCTOROFF DIRECTOR	1.00 0.	X						0.	0.	0.
(11) JAMSHYD N. GODREJ DIRECTOR	1.00 0.	X						0.	0.	0.
(12) PRESTON R. MILLER, JR. DIRECTOR	1.00 0.	X						0.	0.	0.
(13) JONATHAN LASH DIRECTOR	1.00 0.	X						0.	0.	0.
(14) JOAQUIM LEVY DIRECTOR	1.00 0.	X						0.	0.	0.

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
( 15) MICHAEL POLSKY ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
( 16) DR. SUSAN TIERNEY ----- VICE CHAIR	1.00 ----- 0.	X					0.	0.	0.	
( 17) STEPHEN M. ROSS ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
( 18) JAMES GUSTAVE SPETH ----- FOUNDER AND TRUSTEE EMERITUS	1.00 ----- 0.	X					0.	0.	0.	
( 19) AFSANEH BESCHLOSS ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
( 20) FELIPE CALDERON ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
( 21) DANIEL CRUISE ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
( 22) CLINTON A. VINCE ----- VICE CHAIR	1.00 ----- 0.	X					0.	0.	0.	
( 23) ROGER W. SANT ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
( 24) DANIEL WEISS ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
( 25) BILL RICHARDSON ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
<b>1b Sub-total</b> . . . . .							436,034.	0.	32,566.	
<b>c Total from continuation sheets to Part VII, Section A</b> . . . . .							3,571,742.	0.	482,148.	
<b>d Total (add lines 1b and 1c)</b> . . . . .							4,007,776.	0.	514,714.	

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 102

	Yes	No
<b>3</b> Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .		X
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
ATTACHMENT 3		

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ► 26

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
( 26) PAMELA FLAHERTY ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
( 27) CAIO KOCH-WESER ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
( 28) DAVID BLOOD ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
( 29) JOKE BRANDT ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
( 30) CHRISTIANA FIGUERES ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
( 31) JENNIFER SCULLY ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
( 32) WANJIRA MATHAI ----- DIRECTOR	1.00 ----- 0.	X					0.	0.	0.	
( 33) D. JAMES UMPLEBY ----- DIRECTOR, END MAY 2017	1.00 ----- 0.	X					0.	0.	0.	
( 34) MANISH BAPNA ----- EXEC VP/MANAGING DIR	40.00 ----- 0.			X			265,033.	0.	47,638.	
( 35) STEVEN BARKER ----- CFOO, VP FIN. ADMINISTRATION	40.00 ----- 0.			X			233,680.	0.	32,732.	
( 36) ELIZABETH COOK ----- VP FOR INSTITUTIONAL	40.00 ----- 0.			X			224,705.	0.	24,865.	
<b>1b Sub-total</b> . . . . .										
<b>c Total from continuation sheets to Part VII, Section A</b> . . . . .										
<b>d Total (add lines 1b and 1c)</b> . . . . .										

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶** 102

	Yes	No
<b>3</b> Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .		X
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **▶**

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
( 37) JANET RANGANATHAN VP FOR SCIENCE	40.00 0.			X			220,522.	0.	17,837.	
( 38) LAWRENCE MACDONALD VP COMMUNICATIONS	40.00 0.			X			200,558.	0.	26,505.	
( 39) NEIL PRAKASH CHIEF HUMAN RESOURCES OFFICER	40.00 0.			X			165,305.	0.	34,610.	
( 40) CHAD SNELGAR DIR FIN PLANNING AND ANALYSIS	40.00 0.				X		134,851.	0.	10,709.	
( 41) CRAIG HANSON DIR. FFW	40.00 0.				X		184,585.	0.	26,346.	
( 42) PAULA CABALLERO GLOBAL DIR. CLIMATE	40.00 0.				X		73,396.	0.	5,072.	
( 43) HOLGER DALKMANN DIRECTOR EMBARQ	40.00 0.				X		171,196.	0.	38,806.	
( 44) HELEN MOUNTFORD DIR. ECONOMICS	40.00 0.				X		188,345.	0.	23,506.	
( 45) PANKAJ BHATIA DIR. GHG PROTOCOL	40.00 0.				X		189,259.	0.	23,817.	
( 46) ELIZABETH OTTO DIR. WATER	40.00 0.				X		156,511.	0.	20,448.	
( 47) JENNIFER LAYKE DIR. ENERGY	40.00 0.				X		52,077.	0.	9,555.	
<b>1b Sub-total</b> . . . . .										
<b>c Total from continuation sheets to Part VII, Section A</b> . . . . .										
<b>d Total (add lines 1b and 1c)</b> . . . . .										

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **102**

	Yes	No
3 Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .		X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
( 48) LEONARDO MARTINEZ-DIAZ DIR. FINANCE CENTER	40.00 0.				X		0.	0.	0.	
( 49) MARK ROBINSON DIR. GOVERNANCE CENTER	40.00 0.				X		192,542.	0.	14,151.	
( 50) KEVIN MOSS DIR. BUSINESS CENTER	40.00 0.				X		149,706.	0.	23,197.	
( 51) ALEX PERERA DEP DIR. ENERGY	40.00 0.					X	132,187.	0.	24,748.	
( 52) CHRISTINA DECONCINI DIR. GOV AFFAIRS	40.00 0.					X	170,446.	0.	13,759.	
( 53) PETER VEIT DIR. L&R	40.00 0.					X	168,927.	0.	21,424.	
( 54) VICTORIA BEARD FELLOW	40.00 0.					X	162,477.	0.	19,406.	
( 55) ARTHUR DROE CONTROLLER	40.00 0.					X	135,434.	0.	23,017.	
<b>1b Sub-total</b> .....										
<b>c Total from continuation sheets to Part VII, Section A</b> .....										
<b>d Total (add lines 1b and 1c)</b> .....										

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶** 102

	Yes	No
<b>3</b> Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> .....		X
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> .....	X	
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> .....		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **▶**



**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII.

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1a</b> Federated campaigns . . . . .	<b>1a</b>						
	<b>b</b> Membership dues . . . . .	<b>1b</b>	1,178,000.					
	<b>c</b> Fundraising events . . . . .	<b>1c</b>						
	<b>d</b> Related organizations . . . . .	<b>1d</b>						
	<b>e</b> Government grants (contributions) . . . . .	<b>1e</b>	5,869,215.					
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above . . . . .	<b>1f</b>	79,552,114.					
	<b>g</b> Noncash contributions included in lines 1a-1f: \$ _____							
	<b>h Total.</b> Add lines 1a-1f . . . . . ▶			86,599,329.				
<b>Program Service Revenue</b>	<b>2a</b> _____ <b>Business Code</b> _____							
	<b>b</b> _____							
	<b>c</b> _____							
	<b>d</b> _____							
	<b>e</b> _____							
	<b>f</b> All other program service revenue . . . . .							
	<b>g Total.</b> Add lines 2a-2f . . . . . ▶			0.				
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts). . . . . ▶			772,748.		2,624.	770,124.	
	<b>4</b> Income from investment of tax-exempt bond proceeds . . . . . ▶			0.				
	<b>5</b> Royalties . . . . . ▶			3,715.			3,715.	
	<b>6a</b> Gross rents . . . . .	(i) Real	(ii) Personal					
		<b>b</b> Less: rental expenses . . . . .						
		<b>c</b> Rental income or (loss) . . . . .						
		<b>d</b> Net rental income or (loss) . . . . . ▶			0.			
	<b>7a</b> Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other					
		43,213,089.						
		<b>b</b> Less: cost or other basis and sales expenses . . . . .						
		36,418,344.						
	<b>c</b> Gain or (loss) . . . . .							
	6,794,745.							
	<b>d</b> Net gain or (loss) . . . . . ▶				6,794,745.		6,794,745.	
	<b>8a</b> Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18 . . . . . <b>a</b>				0.			
<b>b</b> Less: direct expenses . . . . . <b>b</b>				0.				
<b>c</b> Net income or (loss) from fundraising events. . . . . ▶				0.				
<b>9a</b> Gross income from gaming activities. See Part IV, line 19 . . . . . <b>a</b>				0.				
	<b>b</b> Less: direct expenses . . . . . <b>b</b>			0.				
	<b>c</b> Net income or (loss) from gaming activities. . . . . ▶			0.				
<b>10a</b> Gross sales of inventory, less returns and allowances . . . . . <b>a</b>				0.				
	<b>b</b> Less: cost of goods sold . . . . . <b>b</b>			0.				
	<b>c</b> Net income or (loss) from sales of inventory. . . . . ▶			0.				
Miscellaneous Revenue			<b>Business Code</b>					
<b>11a</b> FOREIGN REALIZED LOSS		900099		-722,346.			-722,346.	
<b>b</b> CREDIT CARD REBATES		900099		12,074.			12,074.	
<b>c</b> _____								
<b>d</b> All other revenue . . . . .								
<b>e Total.</b> Add lines 11a-11d . . . . . ▶				-710,272.				
<b>12 Total revenue.</b> See instructions. . . . . ▶				93,460,265.		2,624.	6,858,312.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

<b>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</b>	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
<b>1</b> Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 . . . . .	1,797,916.	1,797,916.		
<b>2</b> Grants and other assistance to domestic individuals. See Part IV, line 22 . . . . .	0.			
<b>3</b> Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 . . . . .	21,758,104.	21,758,104.		
<b>4</b> Benefits paid to or for members . . . . .	0.			
<b>5</b> Compensation of current officers, directors, trustees, and key employees . . . . .	5,902,574.	4,692,864.	699,581.	510,129.
<b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	0.			
<b>7</b> Other salaries and wages . . . . .	24,305,710.	21,277,451.	2,089,168.	939,091.
<b>8</b> Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	1,642,337.	1,401,003.	160,318.	81,016.
<b>9</b> Other employee benefits . . . . .	7,129,641.	6,114,119.	674,828.	340,694.
<b>10</b> Payroll taxes . . . . .	2,064,827.	1,782,689.	186,549.	95,589.
<b>11</b> Fees for services (non-employees):				
<b>a</b> Management . . . . .	0.			
<b>b</b> Legal . . . . .	90,003.		90,003.	
<b>c</b> Accounting . . . . .	307,381.		307,381.	
<b>d</b> Lobbying . . . . .	33,918.			33,918.
<b>e</b> Professional fundraising services. See Part IV, line 17.	0.			
<b>f</b> Investment management fees . . . . .	113,693.		113,693.	
<b>g</b> Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) . . . . .	1,161,406.	449,720.	679,256.	32,430.
<b>12</b> Advertising and promotion . . . . .	0.			
<b>13</b> Office expenses . . . . .	596,157.	536,541.	41,731.	17,885.
<b>14</b> Information technology . . . . .	2,914,942.	2,663,448.	204,046.	47,448.
<b>15</b> Royalties . . . . .	0.			
<b>16</b> Occupancy . . . . .	3,103,507.	2,635,140.	333,327.	135,040.
<b>17</b> Travel . . . . .	3,677,535.	3,371,846.	198,689.	107,000.
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials	0.			
<b>19</b> Conferences, conventions, and meetings . . . . .	1,315,037.	1,124,923.	112,126.	77,988.
<b>20</b> Interest . . . . .	89,081.		89,081.	
<b>21</b> Payments to affiliates . . . . .	0.			
<b>22</b> Depreciation, depletion, and amortization . . . . .	1,955,853.	1,872,199.		83,654.
<b>23</b> Insurance . . . . .	103,435.	99,298.		4,137.
<b>24</b> Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
<b>a</b> RESEARCH EXPENSES	10,795,786.	10,495,495.	275,658.	24,633.
<b>b</b> PUBLICATION EXPENSES	1,307,032.	1,228,314.	15,335.	63,383.
<b>c</b> BANK FEES	29,716.		29,716.	
<b>d</b> EQUIPMENT RENTAL/MAINT.	722,245.	690,696.		31,549.
<b>e</b> All other expenses	1,647,006.	1,173,328.	398,525.	75,153.
<b>25 Total functional expenses.</b> Add lines 1 through 24e	94,564,842.	85,165,094.	6,699,011.	2,700,737.
<b>26 Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) . . . . .	0.			

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X. . . . .

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash - non-interest-bearing . . . . .	2,500.	<b>1</b>	2,500.
	<b>2</b> Savings and temporary cash investments . . . . .	15,144,209.	<b>2</b>	16,452,349.
	<b>3</b> Pledges and grants receivable, net . . . . .	46,247,058.	<b>3</b>	41,792,298.
	<b>4</b> Accounts receivable, net . . . . .	0.	<b>4</b>	0.
	<b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .	0.	<b>5</b>	0.
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L . . . . .	0.	<b>6</b>	0.
	<b>7</b> Notes and loans receivable, net . . . . .	0.	<b>7</b>	0.
	<b>8</b> Inventories for sale or use . . . . .	0.	<b>8</b>	0.
	<b>9</b> Prepaid expenses and deferred charges . . . . .	1,443,417.	<b>9</b>	1,634,953.
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D . . . . .	<b>10a</b> 15,465,887.		
	<b>b</b> Less: accumulated depreciation . . . . .	<b>10b</b> 7,714,416.	8,637,170.	<b>10c</b> 7,751,471.
	<b>11</b> Investments - publicly traded securities . . . . .	15,487,920.	<b>11</b>	36,953,215.
	<b>12</b> Investments - other securities. See Part IV, line 11 . . . . .	21,239,628.	<b>12</b>	1,030,019.
	<b>13</b> Investments - program-related. See Part IV, line 11 . . . . .	0.	<b>13</b>	0.
	<b>14</b> Intangible assets . . . . .	0.	<b>14</b>	0.
	<b>15</b> Other assets. See Part IV, line 11 . . . . .	0.	<b>15</b>	0.
<b>16 Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .	108,201,902.	<b>16</b>	105,616,805.	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	9,487,579.	<b>17</b>	7,290,631.
	<b>18</b> Grants payable . . . . .	0.	<b>18</b>	0.
	<b>19</b> Deferred revenue . . . . .	5,894,063.	<b>19</b>	9,467,048.
	<b>20</b> Tax-exempt bond liabilities . . . . .	0.	<b>20</b>	0.
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .	65,956.	<b>21</b>	118,117.
	<b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .	0.	<b>22</b>	0.
	<b>23</b> Secured mortgages and notes payable to unrelated third parties . . . . .	0.	<b>23</b>	0.
	<b>24</b> Unsecured notes and loans payable to unrelated third parties . . . . .	0.	<b>24</b>	0.
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D . . . . .	2,008,170.	<b>25</b>	1,781,730.
	<b>26 Total liabilities.</b> Add lines 17 through 25 . . . . .	17,455,768.	<b>26</b>	18,657,526.
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>			
	<b>27</b> Unrestricted net assets . . . . .	4,261,571.	<b>27</b>	9,362,547.
	<b>28</b> Temporarily restricted net assets . . . . .	61,384,563.	<b>28</b>	52,496,732.
	<b>29</b> Permanently restricted net assets . . . . .	25,100,000.	<b>29</b>	25,100,000.
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>			
	<b>30</b> Capital stock or trust principal, or current funds . . . . .		<b>30</b>	
	<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund . . . . .		<b>31</b>	
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds . . . . .		<b>32</b>	
	<b>33</b> Total net assets or fund balances . . . . .	90,746,134.	<b>33</b>	86,959,279.
	<b>34</b> Total liabilities and net assets/fund balances . . . . .	108,201,902.	<b>34</b>	105,616,805.

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI.

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	93,460,265.
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	94,564,842.
<b>3</b>	Revenue less expenses. Subtract line 2 from line 1	<b>3</b>	-1,104,577.
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	<b>4</b>	90,746,134.
<b>5</b>	Net unrealized gains (losses) on investments	<b>5</b>	-4,310,417.
<b>6</b>	Donated services and use of facilities	<b>6</b>	0.
<b>7</b>	Investment expenses	<b>7</b>	0.
<b>8</b>	Prior period adjustments	<b>8</b>	0.
<b>9</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>9</b>	1,628,139.
<b>10</b>	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	<b>10</b>	86,959,279.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII.

		Yes	No
<b>1</b>	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
<b>2a</b>	Were the organization's financial statements compiled or reviewed by an independent accountant? . . . . . If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
<b>b</b>	Were the organization's financial statements audited by an independent accountant? . . . . . If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
<b>c</b>	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
<b>3a</b>	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? . . . . .	X	
<b>b</b>	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	X	

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

**Open to Public Inspection**

Name of the organization

WORLD RESOURCES INSTITUTE

Employer identification number

52-1257057

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9  An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: \_\_\_\_\_
- 10  An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**.  
Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
  - f Enter the number of supported organizations. . . . .

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
(A)						
(B)						
(C)						
(D)						
(E)						
<b>Total</b>						

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2016

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**  
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") . . . . .	49,834,180.	81,917,245.	72,738,600.	97,506,285.	86,599,329.	388,595,639.
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .						0.
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .						0.
<b>4 Total.</b> Add lines 1 through 3. . . . .	49,834,180.	81,917,245.	72,738,600.	97,506,285.	86,599,329.	388,595,639.
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f). . . . .						27,803,445.
<b>6 Public support.</b> Subtract line 5 from line 4.						360,792,194.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
<b>7</b> Amounts from line 4 . . . . .	49,834,180.	81,917,245.	72,738,600.	97,506,285.	86,599,329.	388,595,639.
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .	430,232.	433,515.	541,909.	462,209.	773,839.	2,641,704.
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on . . . . .						0.
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . <b>ATCH. 1</b> . . . . .	25,308.	-180,115.	-611,394.	-893,244.	-710,272.	-2,369,717.
<b>11 Total support.</b> Add lines 7 through 10 . . . . .						388,867,626.
<b>12</b> Gross receipts from related activities, etc. (see instructions) . . . . .					<b>12</b>	633,800.
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . . ▶ <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f)) . . . . .	<b>14</b>	92.78%
<b>15</b> Public support percentage from 2015 Schedule A, Part II, line 14 . . . . .	<b>15</b>	90.74%
<b>16a 33 1/3% support test - 2016.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization . . . . . ▶ <input checked="" type="checkbox"/>		
<b>b 33 1/3% support test - 2015.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization . . . . . ▶ <input type="checkbox"/>		
<b>17a 10%-facts-and-circumstances test - 2016.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here</b> . Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . ▶ <input type="checkbox"/>		
<b>b 10%-facts-and-circumstances test - 2015.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here</b> . Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . ▶ <input type="checkbox"/>		
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . . ▶ <input type="checkbox"/>		

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**  
 (Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II.  
 If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose . . . . .						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 . . . . .						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .						
<b>6 Total.</b> Add lines 1 through 5 . . . . .						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons . . . . .						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year . . . . .						
<b>c</b> Add lines 7a and 7b. . . . .						
<b>8 Public support.</b> (Subtract line 7c from line 6.) . . . . .						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
<b>9</b> Amounts from line 6. . . . .						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 . . . . .						
<b>c</b> Add lines 10a and 10b . . . . .						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on . . . . .						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . . . . .						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.) . . . . .						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . .

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f)). . . . .	<b>15</b>	%
<b>16</b> Public support percentage from 2015 Schedule A, Part III, line 15 . . . . .	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for <b>2016</b> (line 10c, column (f) divided by line 13, column (f)) . . . . .	<b>17</b>	%
<b>18</b> Investment income percentage from <b>2015</b> Schedule A, Part III, line 17 . . . . .	<b>18</b>	%

**19a 33 1/3% support tests - 2016.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ►

**b 33 1/3% support tests - 2015.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ►

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ►

**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		



**Part IV Supporting Organizations** (continued)

	Yes	No
<b>11</b> Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b> A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	<b>11 a</b>	
<b>b</b> A family member of a person described in (a) above?	<b>11 b</b>	
<b>c</b> A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i>	<b>11 c</b>	

**Section B. Type I Supporting Organizations**

	Yes	No
<b>1</b> Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>	<b>1</b>	
<b>2</b> Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.</i>	<b>2</b>	

**Section C. Type II Supporting Organizations**

	Yes	No
<b>1</b> Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>	<b>1</b>	

**Section D. All Type III Supporting Organizations**

	Yes	No
<b>1</b> Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	<b>1</b>	
<b>2</b> Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>	<b>2</b>	
<b>3</b> By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>	<b>3</b>	

**Section E. Type III Functionally Integrated Supporting Organizations**

<b>1</b> Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).			
<b>a</b> <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.			
<b>b</b> <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.			
<b>c</b> <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).			
<b>2</b> Activities Test. Answer (a) and (b) below.		Yes	No
<b>a</b> Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>	<b>2a</b>		
<b>b</b> Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>	<b>2b</b>		
<b>3</b> Parent of Supported Organizations. Answer (a) and (b) below.			
<b>a</b> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>	<b>3a</b>		
<b>b</b> Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>	<b>3b</b>		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

<b>Section A - Adjusted Net Income</b>		(A) Prior Year	(B) Current Year (optional)
<b>1</b> Net short-term capital gain	<b>1</b>		
<b>2</b> Recoveries of prior-year distributions	<b>2</b>		
<b>3</b> Other gross income (see instructions)	<b>3</b>		
<b>4</b> Add lines 1 through 3.	<b>4</b>		
<b>5</b> Depreciation and depletion	<b>5</b>		
<b>6</b> Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	<b>6</b>		
<b>7</b> Other expenses (see instructions)	<b>7</b>		
<b>8 Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4).	<b>8</b>		

<b>Section B - Minimum Asset Amount</b>		(A) Prior Year	(B) Current Year (optional)
<b>1</b> Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):			
<b>a</b> Average monthly value of securities	<b>1a</b>		
<b>b</b> Average monthly cash balances	<b>1b</b>		
<b>c</b> Fair market value of other non-exempt-use assets	<b>1c</b>		
<b>d Total</b> (add lines 1a, 1b, and 1c)	<b>1d</b>		
<b>e Discount</b> claimed for blockage or other factors (explain in detail in <b>Part VI</b> ):			
<b>2</b> Acquisition indebtedness applicable to non-exempt-use assets	<b>2</b>		
<b>3</b> Subtract line 2 from line 1d.	<b>3</b>		
<b>4</b> Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	<b>4</b>		
<b>5</b> Net value of non-exempt-use assets (subtract line 4 from line 3)	<b>5</b>		
<b>6</b> Multiply line 5 by .035.	<b>6</b>		
<b>7</b> Recoveries of prior-year distributions	<b>7</b>		
<b>8 Minimum Asset Amount</b> (add line 7 to line 6)	<b>8</b>		

<b>Section C - Distributable Amount</b>			Current Year
<b>1</b> Adjusted net income for prior year (from Section A, line 8, Column A)	<b>1</b>		
<b>2</b> Enter 85% of line 1.	<b>2</b>		
<b>3</b> Minimum asset amount for prior year (from Section B, line 8, Column A)	<b>3</b>		
<b>4</b> Enter greater of line 2 or line 3.	<b>4</b>		
<b>5</b> Income tax imposed in prior year	<b>5</b>		
<b>6 Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	<b>6</b>		

Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions.	
7 <b>Total annual distributions.</b> Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required-explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2016:			
a			
b			
c From 2013. . . . .			
d From 2014. . . . .			
e From 2015. . . . .			
f <b>Total</b> of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2016 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.			
6 Remaining underdistributions for 2016. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.			
7 <b>Excess distributions carryover to 2017.</b> Add lines 3j and 4c.			
8 Breakdown of line 7:			
a			
b Excess from 2013. . . .			
c Excess from 2014. . . .			
d Excess from 2015. . . .			
e Excess from 2016. . . .			

**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

ATTACHMENT 1

SCHEDULE A, PART II - OTHER INCOME

DESCRIPTION	2012	2013	2014	2015	2016	TOTAL
OTHER REVENUE	25,308.	-180,115.	-611,394.	-893,244.	-710,272.	-2,369,717.
<b>TOTALS</b>	<u>25,308.</u>	<u>-180,115.</u>	<u>-611,394.</u>	<u>-893,244.</u>	<u>-710,272.</u>	<u>-2,369,717.</u>

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

OMB No. 1545-0047

**2016**

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**Name of the organization**

WORLD RESOURCES INSTITUTE

**Employer identification number**

52-1257057

**Organization type** (check one):

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **WORLD RESOURCES INSTITUTE**

Employer identification number  
**52-1257057**

**Part I** **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		\$ 11,474,080.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2		\$ 6,689,013.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3		\$ 6,049,213.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4		\$ 5,250,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5		\$ 4,716,849.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6		\$ 2,628,555.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization **WORLD RESOURCES INSTITUTE**

Employer identification number  
**52-1257057**

**Part I** **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	_____ _____ _____	\$ 2,330,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	_____ _____ _____	\$ 2,081,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	_____ _____ _____	\$ 4,326,558.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
10	_____ _____ _____	\$ 4,389,923.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
11	_____ _____ _____	\$ 3,492,626.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization **WORLD RESOURCES INSTITUTE**

Employer identification number

52-1257057

**Part II** **Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____



Name of organization **WORLD RESOURCES INSTITUTE**

Employer identification number  
**52-1257057**

**Part III** *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

**Political Campaign and Lobbying Activities**

OMB No. 1545-0047

**For Organizations Exempt From Income Tax Under section 501(c) and section 527**

**2016**

Department of the Treasury  
Internal Revenue Service

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

**Open to Public Inspection**

**If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization WORLD RESOURCES INSTITUTE	Employer identification number 52-1257057
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**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV. (see instructions for definition of "political campaign activities")
- 2 Political campaign activity expenditures (see instructions) . . . . . ▶ \$ \_\_\_\_\_
- 3 Volunteer hours for political campaign activities (see instructions) . . . . . \_\_\_\_\_

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955. . . . . ▶ \$ \_\_\_\_\_
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . . . . ▶ \$ \_\_\_\_\_
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . . .  Yes  No
- 4a Was a correction made? . . . . .  Yes  No
- b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities. . . . . ▶ \$ \_\_\_\_\_
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities . . . . . ▶ \$ \_\_\_\_\_
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b . . . . . ▶ \$ \_\_\_\_\_
- 4 Did the filing organization file **Form 1120-POL** for this year? . . . . .  Yes  No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2016

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

**A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

**B** Check  if the filing organization checked box A and "limited control" provisions apply.

<b>Limits on Lobbying Expenditures</b> (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
<b>1a</b> Total lobbying expenditures to influence public opinion (grass roots lobbying) . . . . .															
<b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying) . . . . .		33,918.													
<b>c</b> Total lobbying expenditures (add lines 1a and 1b) . . . . .		33,918.													
<b>d</b> Other exempt purpose expenditures . . . . .		85,131,176.													
<b>e</b> Total exempt purpose expenditures (add lines 1c and 1d) . . . . .		85,165,094.													
<b>f</b> Lobbying nontaxable amount. Enter the amount from the following table in both columns.		1,000,000.													
<table border="1" style="width: 100%;"> <thead> <tr> <th style="width: 50%;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width: 50%;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
<b>g</b> Grassroots nontaxable amount (enter 25% of line 1f) . . . . .		250,000.													
<b>h</b> Subtract line 1g from line 1a. If zero or less, enter -0- . . . . .		0.	0.												
<b>i</b> Subtract line 1f from line 1c. If zero or less, enter -0- . . . . .		0.	0.												
<b>j</b> If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? . . . . .			<input type="checkbox"/> Yes <input type="checkbox"/> No												

**4-Year Averaging Period Under section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below.

See the separate instructions for lines 2a through 2f.)

<b>Lobbying Expenditures During 4-Year Averaging Period</b>					
Calendar year (or fiscal year beginning in)	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) Total
<b>2a</b> Lobbying nontaxable amount	1,000,000.	1,000,000.	1,000,000.	1,000,000.	4,000,000.
<b>b</b> Lobbying ceiling amount (150% of line 2a, column (e))					6,000,000.
<b>c</b> Total lobbying expenditures	44,302.	40,117.	34,836.	33,918.	153,173.
<b>d</b> Grassroots nontaxable amount	250,000.	250,000.	250,000.	250,000.	1,000,000.
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000.
<b>f</b> Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

Table with 3 main columns: (a) Yes/No, (b) Amount. Rows include: 1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation...; 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?; b If "Yes," enter the amount of any tax incurred under section 4912; c If "Yes," enter the amount of any tax incurred by organization managers under section 4912; d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

Table with 3 columns: Question, Yes, No. Rows include: 1 Were substantially all (90% or more) dues received nondeductible by members?; 2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?; 3 Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year?

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

Table with 2 columns: Question, Amount. Rows include: 1 Dues, assessments and similar amounts from members; 2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid); 3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues; 4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?; 5 Taxable amount of lobbying and political expenditures (see instructions)

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

DIRECT CONTACT  
SCHEDULE C, PART II-A, LINE 1G  
WE WORK WITH CONGRESS IN DRAFTING LEGISLATIONS ON CLIMATE CHANGE. WE ARE CALLED ON CAPITAL HILL FROM TIME TO TIME TO TESTIFY. EXPENSES ARE TRACKED IN PROJECT # 00162, 02103.

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**Part IV** Supplemental Information *(continued)*

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SCHEDULE D (Form 990)

Supplemental Financial Statements

OMB No. 1545-0047

2016

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

WORLD RESOURCES INSTITUTE

Employer identification number

52-1257057

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 2 columns: (a) Donor advised funds, (b) Funds and other accounts. Rows include: 1 Total number at end of year, 2 Aggregate value of contributions to (during year), 3 Aggregate value of grants from (during year), 4 Aggregate value at end of year, 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?, 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Table with 2 columns: Held at the End of the Tax Year. Rows include: 1 Purpose(s) of conservation easements held by the organization (check all that apply), 2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year, 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year, 4 Number of states where property subject to conservation easement is located, 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?, 6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year, 7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year, 8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?, 9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Table with 2 columns: Revenue, Assets. Rows include: 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items. 1b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items: (i) Revenue included in Form 990, Part VIII, line 1, (ii) Assets included in Form 990, Part X. 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items: a Revenue included in Form 990, Part VIII, line 1, b Assets included in Form 990, Part X.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2016

JSA 6E1268 1.000

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a**  Public exhibition
  - b**  Scholarly research
  - c**  Preservation for future generations
  - d**  Loan or exchange programs
  - e**  Other \_\_\_\_\_
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No
- b** If "Yes," explain the arrangement in Part XIII and complete the following table:
- |  | Amount    |
|--|-----------|
| <b>c</b> Beginning balance             | <b>1c</b> |
| <b>d</b> Additions during the year     | <b>1d</b> |
| <b>e</b> Distributions during the year | <b>1e</b> |
| <b>f</b> Ending balance                | <b>1f</b> |
- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No
- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

**Part V Endowment Funds.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
<b>1a</b> Beginning of year balance	29,075,173.	28,422,342.	32,835,795.	31,758,444.	30,715,424.
<b>b</b> Contributions					
<b>c</b> Net investment earnings, gains, and losses	3,143,383.	2,673,548.	-2,434,865.	2,949,487.	3,172,044.
<b>d</b> Grants or scholarships					
<b>e</b> Other expenditures for facilities and programs	1,917,934.	2,020,717.	1,978,588.	1,872,136.	2,129,024.
<b>f</b> Administrative expenses					
<b>g</b> End of year balance	30,300,622.	29,075,173.	28,422,342.	32,835,795.	31,758,444.

**2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a** Board designated or quasi-endowment  11.6300 %
- b** Permanent endowment  82.8400 %
- c** Temporarily restricted endowment  5.5300 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

**3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
<b>(i)</b> unrelated organizations	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>(ii)</b> related organizations	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>b</b> If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**4** Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
<b>1a</b> Land				
<b>b</b> Buildings				
<b>c</b> Leasehold improvements		6,120,085.	1,698,585.	4,421,500.
<b>d</b> Equipment		7,319,619.	5,246,751.	2,072,868.
<b>e</b> Other		2,026,183.	769,080.	1,257,103.
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				7,751,471.

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives . . . . .		
(2) Closely-held equity interests . . . . .		
(3) Other _____		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) . . . . . ▶	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) TERM LOAN	1,661,561.
(3) INTEREST-RATE SWAP AGREEMENT	120,169.
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	1,781,730.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII



Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

Table with 5 main rows and sub-rows (a-e) for adjustments. Total revenue reported as 93,460,265.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

Table with 5 main rows and sub-rows (a-e) for adjustments. Total expenses reported as 94,564,842.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

SEE PAGE 5

**Part XIII Supplemental Information** (continued)

## ESCROW OR CUSTODIAL ACCOUNT LIABILITY

SCHEDULE D, PART IV, LINE 2B

WRI HOLDS MONEY WHICH IT RECEIVES ON BEHALF OF ANOTHER TAX-EXEMPT ORGANIZATION. THE CUSTODIAL MONIES ARE SPENT FOR THE SOLE PURPOSE OF PROVIDING SCHOLARSHIPS.

## INTENDED USE OF ENDOWMENT FUNDS

SCHEDULE D, PART V, LINE 4

PROCEEDS FROM THE ENDOWMENTS ARE TO BE USED TO FUND THE OPERATIONS. THE ORGANIZATION HAS TWO ENDOWMENTS. THE LARGEST IS \$25,000,000 FROM THE MACARTHUR FOUNDATION. THE SMALLER ENDOWMENT FUNDS INTERNSHIPS AT THE ORGANIZATION.

## FIN 48 (ASC 740) FOOTNOTE

SCHEDULE D, PART X, LINE 2

THE INSTITUTE IS EXEMPT FROM FEDERAL INCOME TAXES UNDER THE PROVISIONS OF SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE ("IRC" OR THE "CODE"), THOUGH IT IS SUBJECT TO TAX ON INCOME UNRELATED TO ITS EXEMPT PURPOSE, UNLESS THAT INCOME IS OTHERWISE EXCLUDED BY THE CODE. THE INSTITUTE IS AN ENTITY DESCRIBED UNDER SECTION 509(A)(1) AND, THEREFORE, IS NOT CLASSIFIED AS A PRIVATE FOUNDATION. THE INSTITUTE HAS PROCESSES PRESENTLY IN PLACE TO ENSURE THE MAINTENANCE OF ITS TAX-EXEMPT STATUS; TO IDENTIFY AND REPORT UNRELATED INCOME; TO DETERMINE ITS FILING AND TAX OBLIGATIONS IN JURISDICTIONS FOR WHICH IT HAS NEXUS; AND TO IDENTIFY AND EVALUATE OTHER MATTERS THAT MAY BE CONSIDERED TAX POSITIONS. NO MATERIAL TAXABLE UNRELATED BUSINESS INCOME WAS GENERATED AND, ACCORDINGLY, NO PROVISION FOR INCOME TAXES HAS BEEN MADE IN THE ACCOMPANYING CONSOLIDATED FINANCIAL

**Part XIII Supplemental Information** (continued)

STATEMENTS. THE TAX YEARS ENDING SEPTEMBER 30, 2014, 2015, 2016 AND 2017 ARE STILL OPEN TO AUDIT FOR BOTH FEDERAL AND STATE PURPOSES.

THE INSTITUTE FOLLOWS THE ACCOUNTING GUIDANCE THAT CREATES A SINGLE MODEL TO ADDRESS UNCERTAINTY IN TAX POSITIONS AND CLARIFIES ACCOUNTING FOR INCOME TAXES BY PRESCRIBING THE MINIMUM RECOGNITION THRESHOLD A TAX POSITION IS REQUIRED TO MEET BEFORE BEING RECOGNIZED IN ITS CONSOLIDATED FINANCIAL STATEMENTS. UNDER THE REQUIREMENTS OF THIS GUIDANCE, ORGANIZATIONS COULD NOW BE REQUIRED TO RECORD AN OBLIGATION AS THE RESULT OF TAX POSITIONS THEY HAVE HISTORICALLY TAKEN ON VARIOUS TAX EXPOSURE ITEMS. THIS GUIDANCE PROVIDES THAT THE TAX EFFECTS FROM AN UNCERTAIN TAX POSITION CAN ONLY BE RECOGNIZED IN THE FINANCIAL STATEMENTS IF THE POSITION IS 'MORE-LIKELY-THAN-NOT' TO BE SUSTAINED IF THE POSITION WERE TO BE CHALLENGED BY A TAXING AUTHORITY. THE ASSESSMENT OF THE TAX POSITION IS BASED SOLELY ON THE TECHNICAL MERITS OF THE POSITION, WITHOUT REGARD TO THE LIKELIHOOD THAT THE TAX POSITION MAY BE CHALLENGED. THE INSTITUTE IS NOT REQUIRED TO RECORD SUCH AN OBLIGATION.

RECONCILIATION OF REVENUE AND EXPENSES TO AUDITED FINANCIAL STATEMENTS  
SCHEDULE D, PART XI, LINE 2D

UNREALIZED FOREIGN TRANSLATION GAIN	\$20,239
REVENUE OF SUBSIDIARIES	\$748,497
	-----
TOTAL	\$768,736

SCHEDULE D, PART XII, LINE 2D

EXPENSES OF SUBSIDIARIES	\$4,033,846
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**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2016**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
- ▶ Attach to Form 990.
- ▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

WORLD RESOURCES INSTITUTE

Employer identification number

52-1257057

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
(1) CENTRAL AMERICA/CARIBBEAN			GRANTMAKING		257,847.
(2) EAST ASIA AND THE PACIFIC	1.	90.	GRANTMAKING		3,395,190.
(3) EUROPE	1.	9.	GRANTMAKING		4,697,650.
(4) MIDDLE EAST AND NORTH AFRICA			GRANTMAKING		20,440.
(5) NORTH AMERICA	1.	48.	GRANTMAKING		1,914,834.
(6) RUSSIA/INDEPENDENT STATES			GRANTMAKING		247,226.
(7) SOUTH AMERICA	2.	86.	GRANTMAKING		9,018,464.
(8) SOUTH ASIA	3.	71.	GRANTMAKING		610,241.
(9) SUB-SAHARAN AFRICA	2.	19.	GRANTMAKING		1,596,212.
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
<b>3a</b> Sub-total . . . . .	10.	323.			21,758,104.
<b>b</b> Total from continuation sheets to Part I . . . . .					
<b>c</b> <b>Totals</b> (add lines 3a and 3b)	10.	323.			21,758,104.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2016

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			CENT. AMERICA/CARIBBEAN	PROTECT CLIM	24,179.	EFT OR WIRE			
(2)			CENT. AMERICA/CARIBBEAN	REVERSE RAPI	224,199.	EFT OR WIRE			
(3)			CENT. AMERICA/CARIBBEAN	SUPPORT ENVI	9,469.	EFT OR WIRE			
(4)			EAST ASIA/PACIFIC	PROGRAM DEVE	36,000.	EFT OR WIRE			
(5)			EAST ASIA/PACIFIC	PROMOTE ENVI	1,047,035.	EFT OR WIRE			
(6)			EAST ASIA/PACIFIC	PROTECT CLIM	24,363.	EFT OR WIRE			
(7)			EAST ASIA/PACIFIC	REVERSE RAPI	2,194,053.	EFT OR WIRE			
(8)			EAST ASIA/PACIFIC	SUPPORT ENVI	93,740.	EFT OR WIRE			
(9)			EUROPE/ICELAND/GREENLAND	PROGRAM DEVE	292,890.	EFT OR WIRE			
(10)			EUROPE/ICELAND/GREENLAND	PROMOTE ENVI	1,993,158.	EFT OR WIRE			
(11)			EUROPE/ICELAND/GREENLAND	PROTECT CLIM	301,622.	EFT OR WIRE			
(12)			EUROPE/ICELAND/GREENLAND	REVERSE RAPI	2,097,595.	EFT OR WIRE			
(13)			EUROPE/ICELAND/GREENLAND	SUPPORT ENVI	12,385.	EFT OR WIRE			
(14)			MIDDLE EAST/NORTH AFRICA	SUPPORT ENVI	20,440.	EFT OR WIRE			
(15)			NORTH AMERICA	PROGRAM DEVE	58,557.	EFT OR WIRE			
(16)			NORTH AMERICA	PROMOTE ENVI	1,328,239.	EFT OR WIRE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. . . . . ▶ \_\_\_\_\_

3 Enter total number of other organizations or entities. . . . . ▶ \_\_\_\_\_

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			NORTH AMERICA	PROTECT CLIM	224,418.	EFT OR WIRE			
(2)			NORTH AMERICA	REVERSE RAPI	286,688.	EFT OR WIRE			
(3)			NORTH AMERICA	SUPPORT ENVI	16,932.	EFT OR WIRE			
(4)			RUSSIA/NEWLY IND. STATES	REVERSE RAPI	247,226.	EFT OR WIRE			
(5)			SOUTH AMERICA	PROGRAM DEVE	176,209.	EFT OR WIRE			
(6)			SOUTH AMERICA	PROMOTE ENVI	3,794,522.	EFT OR WIRE			
(7)			SOUTH AMERICA	PROTECT CLIM	225,937.	EFT OR WIRE			
(8)			SOUTH AMERICA	REVERSE RAPI	4,732,668.	EFT OR WIRE			
(9)			SOUTH AMERICA	SUPPORT ENVI	89,126.	EFT OR WIRE			
(10)			SOUTH ASIA	PROGRAM DEVE	28,340.	EFT OR WIRE			
(11)			SOUTH ASIA	PROMOTE ENVI	423,825.	EFT OR WIRE			
(12)			SOUTH ASIA	PROTECT CLIM	22,670.	EFT OR WIRE			
(13)			SOUTH ASIA	REVERSE RAPI	54,850.	EFT OR WIRE			
(14)			SOUTH ASIA	SUPPORT ENVI	80,556.	EFT OR WIRE			
(15)			SUB-SAHARAN AFRICA	PROGRAM DEVE	42,220.	EFT OR WIRE			
(16)			SUB-SAHARAN AFRICA	PROMOTE ENVI	198,406.	EFT OR WIRE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. . . . . ▶ \_\_\_\_\_

3 Enter total number of other organizations or entities. . . . . ▶ \_\_\_\_\_

**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			SUB-SAHARAN AFRICA	PROTECT CLIM	37,629.	EFT OR WIRE			
(2)			SUB-SAHARAN AFRICA	REVERSE RAPI	1,177,393.	EFT OR WIRE			
(3)			SUB-SAHARAN AFRICA	SUPPORT ENVI	140,565.	EFT OR WIRE			
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. . . . . **▶** 35.

3 Enter total number of other organizations or entities. . . . . **▶**

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							



**Part IV Foreign Forms**

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* . . . . .  Yes  No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990)* . . . . .  Yes  No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)* . . . . .  Yes  No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* . . . . .  Yes  No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* . . . . .  Yes  No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990)* . . . . .  Yes  No

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

PROCEDURE FOR MONITORING USE OF GRANT FUNDS OUTSIDE U.S.

SCHEDULE F, PART I, LINE 2

MONITORING GRANT FUNDS IS DONE THROUGH A COMBINATION OF REVIEWING  
REQUIRED PROGRESS AND FINANCIAL REPORTS SUBMITTED BY ALL SUBRECIPIENTS,  
RANDOM SITE VISITS TO SUBRECIPIENTS TO REVIEW FINANCIAL AND PROJECT  
RECORDS AND OBSERVE OPERATIONS, AND REQUIRING AUDITS OF SUBRECIPIENTS, IN  
ACCORDANCE WITH UNIFORM GUIDANCE AND OMB CIRCULAR 22 CFR 200. ALL OF THE  
ORGANIZATION'S SUBGRANTS ARE MADE TO FURTHER ITS TAX-EXEMPT PURPOSE AND  
MISSION.

ACCOUNTING METHOD USED

SCHEDULE F, PART I, LINE 3, COLUMN F

THE EXPENDITURES, PER REGION, ARE PRESENTED ON THE ACCRUAL BASIS OF  
ACCOUNTING.

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

WORLD RESOURCES INSTITUTE

Employer identification number

52-1257057

**Part I General Information on Grants and Assistance**

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
<b>(1)</b> ADVENTURERS AND SCIENTISTS FOR CONSERVATION P. O. BOX 1834 BOZEMAN, MT 59771	45-3345338	501(C)(3)	50,000.				REVERSE RAPID DEGRAD OF ECOSYSTEMS
<b>(2)</b> ALLOTROPE PARTNERS LLC 409 13TH ST, 19TH FL, OAKLAND, CA 94612	46-4277309		357,320.				PROTECT CLIMATE FROM GREENHOUSE GAS EMISS
<b>(3)</b> AMAZON CONSERVATION TEAM 4211 N FAIRFAX DRIVE ARLINGTON, VA 22203	52-2211305	501(C)(3)	37,000.				REVERSE RAPID DEGRAD OF ECOSYSTEMS
<b>(4)</b> BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVE WASHINGTON, DC 20036	53-0196577	501(C)(3)	75,000.				PROGRAM DEVELOPMENT
<b>(5)</b> CENTER FOR INTERNATIONAL TRADE IN FOREST PO BOX 352100 SEATTLE, WA 98195	91-6001537	501(C)(3)	19,705.				REVERSE RAPID DEGRAD OF ECOSYSTEMS
<b>(6)</b> CLIMATE POLICY INITIATIVE AT235 MONTGOMERY ST SAN FRANCISCO, CA 94104	26-4129153	501(C)(3)	28,605.				PROGRAM DEVELOPMENT
<b>(7)</b> GLOBAL SCHOOLNET FOUNDATION 270 N EL CAMINO REAL #395 ENCINTAS CA 92024	33-0394449	501(C)(3)	16,100.				REVERSE RAPID DEGRAD OF ECOSYSTEMS
<b>(8)</b> INTERNEWS NETWORK 876 7TH STREET ARCATA, CA 95521	94-3027961	501(C)(3)	10,226.				REVERSE RAPID DEGRAD OF ECOSYSTEMS
<b>(9)</b> INTERNATIONAL WOOD PRODUCTS ASSOCIATION 4214 KING STREET ALEXANDRIA, VA 22302	94-1371133	501(C)(3)	35,000.				REVERSE RAPID DEGRAD OF ECOSYSTEMS
<b>(10)</b> JANE GOODALL INSTITUTE 1595 SPRING HILL RD STE 550 VIENNA VA 22182	94-2474731	501(C)(3)	86,362.				REVERSE RAPID DEGRAD OF ECOSYSTEMS
<b>(11)</b> MISSOURI BOTANICAL GARDEN P.O. BOX 299 ST. LOUIS, MO 63166	43-0666759	501(C)(3)	104,078.				REVERSE RAPID DEGRAD OF ECOSYSTEMS
<b>(12)</b> MONGABAY ORG CORP 37 W SUMMIT DRIVE EMERALD HILLS, CA 94062	45-3714703	501(C)(3)	60,000.				REVERSE RAPID DEGRAD OF ECOSYSTEMS

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

WORLD RESOURCES INSTITUTE

Employer identification number

52-1257057

**Part I General Information on Grants and Assistance**

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
<b>(1)</b> RAINFOREST FOUNDATION 1000 DEAN ST, ST 430 NEW YORK, NY 10012	95-1622945	501(C)(3)	10,000.				REVERSE RAPID DEGRAD OF ECOSYSTEMS
<b>(2)</b> RESOLVE, INC. 1255 23RD ST NW STE 275 WASHINGTON DC 20037	52-1841035	501(C)(3)	89,909.				REVERSE RAPID DEGRAD OF ECOSYSTEMS
<b>(3)</b> ROCKY MOUNTAIN INSTITUTE AMOR2490 JUNCTION PLACEY LOVINS BOULDER CO	74-2244146	501(C)(3)	53,500.				PROTECT CLIMATE FROM GREENHOUSE GAS EMISS
<b>(4)</b> THE ASPEN INSTITUTE 1 DUPONT CIR NW #700 WASHINGTON, DC 20037	84-0399006	501(C)(3)	28,500.				PROTECT CLIMATE FROM GREENHOUSE GAS EMISS
<b>(5)</b> UNIVERSITY OF MARYLAND 1135 LEFRAK HALL COLLEGE PARK, MD 20742	52-6002033	501(C)(3)	440,022.				REVERSE RAPID DEGRAD OF ECOSYSTEMS
<b>(6)</b> UNIVERSITY OF MARYLAND 1135 LEFRAK HALL COLLEGE PARK, MD 20742	52-6002033	501(C)(3)	150,000.				PROGRAM DEVELOPMENT
<b>(7)</b> WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX, NY 10460	13-1740011	501(C)(3)	144,027.				REVERSE RAPID DEGRAD OF ECOSYSTEMS
<b>(8)</b>							
<b>(9)</b>							
<b>(10)</b>							
<b>(11)</b>							
<b>(12)</b>							

**2** Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 18.

**3** Enter total number of other organizations listed in the line 1 table 1.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**Part III Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
4					
5					
6					
7					

**Part IV Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

PROCEDURE FOR MONITORING USE OF GRANTS

SCHEDULE I, PART I, LINE 2

MONITORING GRANT FUNDS IS DONE THROUGH A COMBINATION OF REVIEWING  
 REQUIRED PROGRESS AND FINANCIAL REPORTS SUBMITTED BY ALL SUBRECIPIENTS,  
 RANDOM SITE VISITS TO SUBRECIPIENTS TO REVIEW FINANCIAL AND PROJECT  
 RECORDS AND OBSERVE OPERATIONS, AND REQUIRING AUDITS OF SUBRECIPIENTS, IN  
 ACCORDANCE WITH OMB CIRCULAR A-133. ALL OF THE ORGANIZATION'S SUBGRANTS  
 ARE MADE TO FURTHER ITS TAX-EXEMPT PURPOSE AND MISSION.

**SCHEDULE J  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.  
▶ Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

Name of the organization

WORLD RESOURCES INSTITUTE

Employer identification number

52-1257057

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |  |   |
|--|---|
| <input type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use    |
| <input type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence    |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees      |
| <input type="checkbox"/> Discretionary spending account            | <input type="checkbox"/> Personal services (such as, maid, chauffeur, chef) |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain . . . . .

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a? . . . . .

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |   |   |
|---|---|
| <input type="checkbox"/> Compensation committee                         | <input type="checkbox"/> Written employment contract                                |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input type="checkbox"/> Form 990 of other organizations                | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment? . . . . .
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan? . . . . .
- c** Participate in, or receive payment from, an equity-based compensation arrangement? . . . . .
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization? . . . . .
- b** Any related organization? . . . . .
- If "Yes" on line 5a or 5b, describe in Part III.

**6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization? . . . . .
- b** Any related organization? . . . . .
- If "Yes" on line 6a or 6b, describe in Part III.

**7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III. . . . .

**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III . . . . .

**9** If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? . . . . .

	Yes	No
<b>1b</b>		
<b>2</b>		
<b>4a</b>		X
<b>4b</b>		X
<b>4c</b>		X
<b>5a</b>		X
<b>5b</b>		X
<b>6a</b>		X
<b>6b</b>		X
<b>7</b>		X
<b>8</b>		X
<b>9</b>		

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2016

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 ANDREW STEER PRESIDENT & CEO	(i)	417,333.	15,000.	3,701.	31,066.	1,500.	468,600.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
2 MANISH BAPNA EXEC VP/MANAGING DIR	(i)	264,223.	0.	810.	22,227.	25,411.	312,671.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
3 STEVEN BARKER CFOO, VP FIN. ADMINISTRATION	(i)	230,227.	0.	3,453.	19,432.	20,827.	273,939.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
4 ELIZABETH COOK VP FOR INSTITUTIONAL	(i)	223,576.	0.	1,129.	18,365.	10,442.	253,512.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
5 JANET RANGANATHAN VP FOR SCIENCE	(i)	219,429.	0.	1,093.	17,837.	3,526.	241,885.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
6 LAWRENCE MACDONALD VP COMMUNICATIONS	(i)	197,548.	0.	3,010.	10,550.	24,350.	235,458.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
7 NEIL PRAKASH CHIEF HUMAN RESOURCES OFFICER	(i)	162,873.	0.	2,432.	10,782.	23,828.	199,915.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
8 CHAD SNELGAR DIR FIN PLANNING AND ANALYSIS	(i)	134,607.	0.	244.	6,346.	6,487.	147,684.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
9 CRAIG HANSON DIR. FFW	(i)	183,980.	0.	605.	15,440.	19,926.	219,951.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
10 PAULA CABALLERO GLOBAL DIR. CLIMATE	(i)	73,003.	0.	393.	3,715.	2,662.	79,773.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
11 HOLGER DALKMANN DIRECTOR EMBARQ	(i)	170,623.	0.	573.	14,705.	24,101.	210,002.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
12 HELEN MOUNTFORD DIR. ECONOMICS	(i)	187,715.	0.	630.	10,000.	23,191.	221,536.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
13 PANKAJ BHATIA DIR. GHG PROTOCOL	(i)	188,315.	0.	944.	15,667.	15,668.	220,594.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
14 ELIZABETH OTTO DIR. WATER	(i)	155,097.	0.	1,414.	12,948.	11,207.	180,666.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
15 JENNIFER LAYKE DIR. ENERGY	(i)	51,913.	0.	164.	2,996.	11,335.	66,408.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
16 LEONARDO MARTINEZ-DIAZ DIR. FINANCE CENTER	(i)	0.	0.	0.	0.	0.	0.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 MARK ROBINSON DIR. GOVERNANCE CENTER	(i)	190,798.	0.	1,744.	9,700.	7,653.	209,895.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
2 KEVIN MOSS DIR. BUSINESS CENTER	(i)	148,944.	0.	762.	8,141.	24,788.	182,635.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
3 ALEX PERERA DEP DIR. ENERGY	(i)	109,952.	22,000.	235.	9,792.	23,351.	165,330.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
4 CHRISTINA DECONCINI DIR. GOV AFFAIRS	(i)	169,634.	0.	812.	13,759.	2,355.	186,560.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
5 PETER VEIT DIR. L&R	(i)	166,567.	0.	2,360.	13,924.	11,934.	194,785.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
6 VICTORIA BEARD FELLOW	(i)	161,955.	0.	522.	8,500.	18,951.	189,928.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
7 ARTHUR DROE CONTROLLER	(i)	134,170.	0.	1,264.	11,819.	20,819.	168,072.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
8	(i)							
	(ii)							
9	(i)							
	(ii)							
10	(i)							
	(ii)							
11	(i)							
	(ii)							
12	(i)							
	(ii)							
13	(i)							
	(ii)							
14	(i)							
	(ii)							
15	(i)							
	(ii)							
16	(i)							
	(ii)							



---

**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

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**SCHEDULE O  
(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

WORLD RESOURCES INSTITUTE

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

Employer identification number

52-1257057

ORGANIZATION'S MISSION

FORM 990, PART III, LINE 1

WRI'S MISSION IS TO MOVE HUMAN SOCIETY TO LIVE IN WAYS THAT PROTECT  
EARTH'S ENVIRONMENT AND ITS CAPACITY TO PROVIDE FOR THE NEEDS AND  
ASPIRATIONS OF CURRENT AND FUTURE GENERATIONS.

PROTECTING THE PLANET AND THE PEOPLE ON IT HAS NEVER BEEN A JOB FOR THE  
FAINT-HEARTED, BUT AT THIS PARTICULAR MOMENT - WITH DEADLY STORMS, HEAT  
WAVES, DROUGHTS AND OTHER PHENOMENA DEMONSTRATING OUR INCREASING  
VULNERABILITY TO A CHANGING CLIMATE - THE NEED FOR COURAGE IS ABSOLUTELY  
CLEAR. WITH THE U.S. ADMINISTRATION IN RETREAT, THE TIME IS RIPE FOR A  
NEW VANGUARD OF CLIMATE LEADERS.

AT WRI, WE HAVE BEEN PRIVILEGED TO WORK WITH MANY IN THIS VANGUARD TO  
FOSTER SUSTAINABLE PROSPERITY AND ENVIRONMENTAL RESILIENCE AROUND THE  
GLOBE, FROM OPENING UP TRANSPORT IN 87 CHINESE CITIES TO IMPROVING URBAN  
LIFE FOR NEARLY 2 MILLION BRAZILIANS TO CUTTING FOOD WASTE WORLDWIDE.  
THESE PROJECTS AND OTHERS DETAILED IN THIS ANNUAL REPORT SHOW THE VALUE  
OF NURTURING PARTNERSHIPS AND FOLLOWING OUR WINNING "COUNT IT, CHANGE IT,  
SCALE IT" APPROACH TO TACKLE THE MOST COMPLEX CHALLENGES.

OUR NEW STRATEGY FOR THE NEXT FIVE YEARS BUILDS ON THIS APPROACH,  
RECOGNIZING THAT SOLUTIONS WILL REQUIRE DISRUPTIVE POLITICAL, SOCIAL AND  
CORPORATE PARTNERSHIPS - MOVEMENTS RATHER THAN MERE POLICY SHIFTS -

Name of the organization WORLD RESOURCES INSTITUTE	Employer identification number 52-1257057
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MOTIVATED BY OPPORTUNITY FOR A BETTER FUTURE RATHER THAN BY NARROWER ENVIRONMENTAL GOALS.

PROGRAM SERVICES

FORM 990, PART III

WRI'S MORE THAN 700 EXPERTS AND STAFF WORK IN MORE THAN 60 COUNTRIES, WITH INSTITUTIONAL OFFICES IN BRAZIL, CHINA, INDIA, INDONESIA, MEXICO AND THE UNITED STATES, IN ADDITION TO A PROGRAM OFFICE FOR OUR SUSTAINABLE CITIES WORK IN ISTANBUL. OUR REGIONAL HUB IN THE NETHERLANDS SUPPORTS OUR WORK IN EUROPE AND OUR REGIONAL HUB IN ETHIOPIA SUPPORTS AND EXPANDS WORK IN MORE THAN 20 COUNTRIES ON THE CONTINENT.

WE FOCUS ON SIX URGENT GLOBAL CHALLENGES THAT MUST BE ADDRESSED THIS DECADE: CITIES, CLIMATE, ENERGY, FOOD, FORESTS AND WATER.

CLIMATE: PROTECT COMMUNITIES AND NATURAL ECOSYSTEMS FROM DAMAGE CAUSED BY GREENHOUSE GAS EMISSIONS, AND GENERATE OPPORTUNITIES FOR PEOPLE BY CATALYZING A GLOBAL TRANSITION TO A LOW-CARBON ECONOMY.

ENERGY: DRIVE THE SCALE-UP OF CLEAN, AFFORDABLE POWER SYSTEMS THROUGHOUT THE WORLD TO DELIVER SUSTAINABLE SOCIO-ECONOMIC DEVELOPMENT.

FOOD: ENSURE THE WORLD'S FOOD SYSTEMS REDUCE THEIR IMPACT ON THE ENVIRONMENT, DRIVE ECONOMIC OPPORTUNITY, AND SUSTAINABLY FEED 9.6 BILLION PEOPLE BY 2050.

Name of the organization WORLD RESOURCES INSTITUTE	Employer identification number 52-1257057
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FORESTS: ALLEVIATE POVERTY, ENHANCE FOOD SECURITY, CONSERVE BIODIVERSITY, AND MITIGATE CLIMATE CHANGE BY REDUCING FOREST LOSS AND RESTORING PRODUCTIVITY TO DEGRADED, DEFORESTED LANDS.

WATER: ACHIEVE A WATER-SECURE FUTURE BY MAPPING, MEASURING, AND MITIGATING GLOBAL WATER RISKS.

ROSS CENTER FOR SUSTAINABLE CITIES: IMPROVE QUALITY OF LIFE IN CITIES BY DEVELOPING AND SCALING ENVIRONMENTALLY, SOCIALLY, AND ECONOMICALLY SUSTAINABLE URBAN AND TRANSPORT SOLUTIONS.

WE DESIGN SOLUTIONS FOR AND ANALYZE THESE SIX CRITICAL GOALS THROUGH THE LENSES OF OUR FOUR CENTERS: BUSINESS, ECONOMICS, FINANCE AND GOVERNANCE.

PROGRAM SERVICE ACTIVITY 1  
FORM 990, PART III, LINE 4A  
FOOD, FOREST AND WATER PROGRAM

FOOD: WRI WORKS TO MEET THESE THREE NEEDS. WE DEVELOP ANALYSES, PARTNERSHIPS, AND STRATEGIES TO SECURE A SUSTAINABLE FOOD FUTURE. WRI'S WORLD RESOURCES REPORT PROJECT DEVELOPS SOLUTIONS TO THE WORLD'S FOOD PRODUCTION AND CONSUMPTION PROBLEMS. WE IDENTIFY WAYS TO REDUCE FOOD LOSS AND WASTE. WE ANALYZE STRATEGIES TO SUSTAINABLY INCREASE FOOD PRODUCTION, SUCH AS RESTORING DEGRADED LANDS BACK INTO PRODUCTIVITY, INCREASING PASTURELAND YIELDS, AND IMPROVING LAND AND WATER MANAGEMENT. WE ADVANCE METHODS TO REDUCE FOOD PRODUCTION'S IMPACT ON THE ENVIRONMENT,

Name of the organization WORLD RESOURCES INSTITUTE	Employer identification number 52-1257057
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SUCH AS CLIMATE-SMART AGRICULTURE.

FORESTS: WRI WORKS WITH GOVERNMENTS, BUSINESSES, AND CIVIL SOCIETY TO SUSTAIN FORESTS FOR FUTURE GENERATIONS. WE AIM TO CURB DEFORESTATION WORLDWIDE AND HELP TO RESTORE AND REFOREST ALREADY-CLEARED LAND. WRI'S GLOBAL FOREST WATCH INITIATIVE USES THE MOST ADVANCED SATELLITE DATA AND CROWD-SOURCED INFORMATION TO TRACK DEFORESTATION THROUGHOUT THE WORLD IN NEAR-REAL-TIME. OUR GLOBAL RESTORATION INITIATIVE IDENTIFIES WAYS TO RESTORE TREES AND PRODUCTIVITY TO DEFORESTED AND DEGRADED LANDS. OUR FOREST LEGALITY ALLIANCE HELPS BUSINESSES ELIMINATE ILLEGALLY SOURCED WOODS FROM THEIR SUPPLY CHAINS. WE ALSO DEVELOP POLICY RECOMMENDATIONS TO ENSURE EFFECTIVE AND INCLUSIVE GOVERNANCE OF THE WORLD'S FOREST RESOURCES.

WATER: WRI WORKS WITH BUSINESSES, GOVERNMENTS, AND CIVIL SOCIETY TO ENSURE A WATER-SECURE FUTURE. WE SEEK TO ADDRESS BOTH WATER QUANTITY AND QUALITY CHALLENGES.

OUR AQUEDUCT PROJECT USES THE MOST UP-TO-DATE DATA TO PRODUCE GLOBAL WATER RISK MAPS, ALLOWING STAKEHOLDERS TO ASSESS CURRENT AND FUTURE CHALLENGES. WE CONDUCT ECONOMIC AND OTHER ANALYSES TO IDENTIFY THE MOST COST-EFFECTIVE STRATEGIES TO REDUCE WATER POLLUTION. WE IDENTIFY SOLUTIONS SUCH AS RESTORING ECOSYSTEM SERVICES TO ALLEVIATE STRESSES ON THE WORLD'S WATER SUPPLIES.

PROGRAM SERVICE ACTIVITY 2  
FORM 990, PART III, LINE 4B

Name of the organization WORLD RESOURCES INSTITUTE	Employer identification number 52-1257057
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WRI ROSS CENTER FOR SUSTAINABLE CITIES: WRI AIMS TO ENSURE THAT CITIES DRIVE ECONOMIC OPPORTUNITY WHILE SUSTAINING NATURAL RESOURCES AND IMPROVING QUALITY OF LIFE. THROUGH OUR WRI ROSS CENTER FOR SUSTAINABLE CITIES, WE USE TECHNICAL EXPERTISE, CUTTING-EDGE RESEARCH, AND ON-THE-GROUND PARTNERSHIPS TO DESIGN SOLUTIONS THAT ENABLE SUSTAINABLE CITY GROWTH.

OUR ANALYSIS AND TOOLS ALLOW CITIES TO EFFECTIVELY MANAGE THEIR NATURAL RESOURCES AND REDUCE THEIR GREENHOUSE GAS EMISSIONS WHILE IMPROVING QUALITY OF LIFE. WORKING ACROSS OUR NETWORK, WE DEVELOP AND SUPPORT THE IMPLEMENTATION OF RESEARCH-BASED SOLUTIONS IN SUSTAINABLE MOBILITY, URBAN FORM, AS WELL AS URBAN EFFICIENCY & CLIMATE THAT REDUCE POLLUTION, IMPROVE HEALTH, AND CREATE SAFE, ACCESSIBLE PUBLIC SPACES FOR ALL PEOPLE TO THRIVE. WE COLLABORATE WITH LOCAL AND NATIONAL DECISION-MAKERS IN BRAZIL, CHINA, INDIA, MEXICO, AND TURKEY TO IMPLEMENT PROJECTS THAT OVERCOME THE CHALLENGES OF URBANIZATION AND MAKE FOR GREATER CITIES. WE PARTNER WITH BUSINESSES, GOVERNMENTS, AND CIVIL SOCIETY TO SCALE OUR SUCCESSFUL PILOT PROJECTS GLOBALLY.

PROGRAM SERVICE ACTIVITY 3  
FORM 990, PART III, LINE 4C

CLIMATE PROGRAM: WRI ENGAGES BUSINESSES, POLICYMAKERS AND CIVIL SOCIETY AT THE LOCAL, NATIONAL AND INTERNATIONAL LEVELS TO ADVANCE TRANSFORMATIVE SOLUTIONS THAT MITIGATE CLIMATE CHANGE AND HELP COMMUNITIES ADAPT TO ITS IMPACTS.

Name of the organization WORLD RESOURCES INSTITUTE	Employer identification number 52-1257057
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OUR INTERNATIONAL CLIMATE WORK USES ANALYSIS, INNOVATION AND PARTNERSHIPS TO ACHIEVE EFFECTIVE NATIONAL POLICIES AND AN AMBITIOUS, EQUITABLE GLOBAL CLIMATE ACTION AGREEMENT. OUR U.S. CLIMATE ACTION INITIATIVE IDENTIFIES COST-EFFECTIVE SOLUTIONS FOR THE UNITED STATES TO REDUCE ITS EMISSIONS IN THE SHORT- AND LONG-TERM. THE GREENHOUSE GAS PROTOCOL HELPS HUNDREDS OF COMPANIES AND ORGANIZATIONS MEASURE, MANAGE, AND REPORT THEIR GREENHOUSE GAS EMISSIONS. CLIMATE WATCH, WRI'S FREE AND OPEN ONLINE PLATFORM, EMPOWERS POLICYMAKERS, RESEARCHERS, MEDIA AND OTHER STAKEHOLDERS WITH CLIMATE DATA, VISUALIZATIONS AND RESOURCES THEY NEED TO GATHER INSIGHTS ON NATIONAL AND GLOBAL PROGRESS ON CLIMATE CHANGE.

OTHER PROGRAM SERVICES

FORM 990, PART III, LINE 4D

ENERGY: WRI WORKS WITH BUSINESSES, POLICYMAKERS, AND CIVIL SOCIETY TO TRANSFORM THE GLOBAL ENERGY SYSTEM. WE AIM TO DRAMATICALLY REDUCE GREENHOUSE GAS POLLUTION WHILE MEETING THE ENERGY NEEDS OF THE POOREST AND BUILDING COMPETITIVE ECONOMIES.

OUR CHARGE INITIATIVE WORKS TO SECURE UNIVERSAL ACCESS TO CLEAN, AFFORDABLE POWER. WE SHED LIGHT ON THE COSTS, BENEFITS, AND RISKS ASSOCIATED WITH DIFFERENT ENERGY AND POLICY OPTIONS. WE DEVELOP INNOVATIVE APPROACHES TO BUYING, SELLING, AND REGULATING CLEAN ELECTRICITY. WE PROVIDE POLICY RECOMMENDATIONS TO ADVANCE RENEWABLE ENERGY--PARTICULARLY IN MAJOR EMERGING ECONOMIES LIKE INDIA AND SOUTH AFRICA. AND WE FOSTER COLLABORATION AMONGST A DIVERSE GROUP OF ENERGY STAKEHOLDERS, INCLUDING REGULATORS, UTILITIES, BUSINESSES, GOVERNMENTS,

Name of the organization WORLD RESOURCES INSTITUTE	Employer identification number 52-1257057
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AND CIVIL SOCIETY.

OUR CENTERS: WE DESIGN SOLUTIONS FOR AND ANALYZE OUR SIX CRITICAL GOALS THROUGH THE LENSES OF OUR FOUR CENTERS:

1) OUR BUSINESS CENTER HARNESSSES THE PRIVATE SECTOR TO SPUR ACTION, INNOVATION, AND AMBITION IN SUPPORT OF SUSTAINABLE DEVELOPMENT OUTCOMES. WE COMBINE RESEARCH, ANALYSIS, TOOLS, AND DIRECT ENGAGEMENT WITH BUSINESSES TO CREATE SOLUTIONS THAT ADVANCE ENVIRONMENTAL SUSTAINABILITY AND DRIVE VALUE.

2) OUR ECONOMICS CENTER HELPS DECISION-MAKERS IDENTIFY OPPORTUNITIES FOR COST-EFFECTIVE ACTION TO PROTECT OR ENHANCE NATURAL RESOURCES, AND ENSURE THE DELIVERY OF ESSENTIAL ECOSYSTEM SERVICES. WE PROVIDE RESEARCH AND TOOLS TO HELP IDENTIFY AND COMPARE THE FULL COSTS AND BENEFITS OF CONTINUING ON A BUSINESS-AS-USUAL GROWTH PATH VERSUS MORE SUSTAINABLE OPTIONS.

3) THE MISSION OF WRI'S FINANCE CENTER IS TO PROMOTE THE SHIFT OF FINANCE AWAY FROM ENVIRONMENTALLY UNSUSTAINABLE ACTIVITIES AND TOWARD SUSTAINABLE ONES. WE DO THIS THROUGH THE PRODUCTION OF DATA-DRIVEN, POLICY-ACTIONABLE RESEARCH AND KNOWLEDGE PRODUCTS AND BY CONVENING COALITIONS OF KEY STAKEHOLDERS THAT CAN DRIVE ACTION ON THE GROUND. OUR WORK IS STRUCTURED AROUND FIVE KEY THEMES:

(A) FINANCING NDC IMPLEMENTATION,



Name of the organization WORLD RESOURCES INSTITUTE	Employer identification number 52-1257057
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- (B) "GREENING" PRIVATE SECTOR FINANCE,  
 (C) STRENGTHENING PUBLIC FINANCIAL INSTITUTIONS,  
 (D) ENCOURAGING THE U.S. AND CHINA TO BE SUSTAINABLE FINANCE CHAMPIONS,  
 AND  
 (E) STRENGTHENING INVESTMENT IN ADAPTATION AND RESILIENCE.

4) OUR GOVERNANCE CENTER WORKS TO EMPOWER PEOPLE AND SUPPORT INSTITUTIONS TO FOSTER SOCIALLY EQUITABLE AND ENVIRONMENTALLY SOUND DECISION-MAKING. WE USE DATA AND RESEARCH TO INSTITUTIONALIZE FUNDAMENTAL DEMOCRATIC PRINCIPLES - SUCH AS TRANSPARENCY, PARTICIPATION, AND ACCOUNTABILITY - INTO DECISION-MAKING PROCESSES, POLICIES, AND LEGAL FRAMEWORKS.

PROGRAM DESCRIPTION	GRANTS	EXPENSES
BUSINESS CENTER	\$ 0	\$1,209,847
FINANCE CENTER	\$9,000	\$2,161,397
GOVERNANCE CENTER	\$454,212	\$3,370,638
SPECIAL PROJECTS	\$887,821	\$6,035,718
COMMUNICATIONS	\$2,500	\$1,541,763
ENERGY	\$526,103	\$2,801,895
TOTAL	\$1,879,636	\$17,121,258

FORM 990 REVIEW PROCESS

FORM 990, PART VI, LINE 11B

THE INSTITUTE'S MANAGEMENT REVIEWED A DRAFT OF THE FORM 990 WHICH WAS PREPARED BY WRI'S EXTERNAL ACCOUNTING ADVISORS. THE ORGANIZATION SHARED A COPY OF THE FORM 990 WITH ITS BOARD OF DIRECTORS BEFORE FILING THE RETURN

Name of the organization WORLD RESOURCES INSTITUTE	Employer identification number 52-1257057
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WITH THE IRS. COMMENTS FROM BOARD MEMBERS ARE SENT TO THE CHIEF FINANCIAL AND OPERATIONS OFFICER (CFOO), GLOBAL FINANCE DIRECTOR AND GLOBAL ACCOUNTING DIRECTOR. A DEADLINE IS GIVEN FOR BOARD MEMBERS TO RESPOND. IF THE DRAFT IS AVAILABLE BEFORE OUR BOARD MEETING, IT IS ALSO GIVEN OUT AT THE MEETING.

CONFLICT OF INTEREST POLICY  
FORM 990, PART VI, LINE 12C

BOARD MEMBERS: A COPY OF CONFLICT OF INTEREST FORM IS GIVEN TO BOARD MEMBERS ANNUALLY WITH LIST OF VENDORS THAT WE DO BUSINESS WITH. EACH BOARD MEMBER SIGNS THE FORM LETTING THE ORGANIZATION KNOW IF THERE ARE ANY CONFLICTS. THOSE WITH CONFLICTS ARE NOT PERMITTED TO PARTICIPATE IN ANY DELIBERATIONS AND DECISIONS AFFECTING THE SOURCE OF THE CONFLICT.

EMPLOYEES/OFFICERS: EVERY STAFF MEMBER SIGNS OFF ON A CONFLICT OF INTEREST FORM. OFFICERS AND MANAGEMENT TEAM RE-SIGN CONFLICT OF INTEREST FORMS EACH CALENDAR YEAR. STAFF MEMBERS ARE DIRECTED TO RAISE QUESTIONS TO THEIR IMMEDIATE SUPERVISORS OR PROGRAM DIRECTORS/VPS IF THEY HAVE A QUESTION ON AN ACTIVITY WHICH THEY THINK MIGHT POSE A CONFLICT OF INTEREST. HUMAN RESOURCES STAFF IS AVAILABLE TO ASSIST WITH QUESTIONS.

ANY PROGRAM DIRECTOR OR VP CAN DETERMINE THAT THERE IS A CONFLICT OF INTEREST AND REQUEST THAT THE ACTIVITIES STOP. THE CONFLICT OF INTEREST POLICY SPECIFIES THAT THE STAFF MEMBER IS PROHIBITED FROM PARTICIPATING IN THE DELIBERATIONS PROCESS IF THEIR ACTIVITY IS IN QUESTION. HUMAN RESOURCES STAFF INFORM STAFF WHEN THERE IS A CONFLICT OF INTEREST AND

Name of the organization WORLD RESOURCES INSTITUTE	Employer identification number 52-1257057
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ASSIST WITH ENSURING COMPLIANCE.

COMPENSATION DETERMINATION

FORM 990, PART VI, LINES 15A & 15B

THE ORGANIZATION ENGAGES INDEPENDENT CONSULTANTS PERIODICALLY TO CONDUCT A COMPARATIVE REVIEW OF ITS SALARY STRUCTURE AND ALSO REVIEWS SALARY SURVEYS. THE MANAGING DIRECTOR SETS PAY INCREASES FOR ALL DEPARTMENT HEADS; THE PRESIDENT DOES THE SAME FOR THE MANAGING DIRECTOR, CFOO, AND ALL VICE-PRESIDENTS; THE BOARD OF DIRECTORS DO THE SAME FOR THE PRESIDENT. THE EXECUTIVE COMMITTEE OF THE BOARD OF DIRECTORS DETERMINES THE PRESIDENT'S ANNUAL SALARY INCREASES AND BONUSES AS APPROPRIATE. THE HUMAN RESOURCES DIRECTOR MAKES A RECOMMENDATION ON THE PERCENTAGE INCREASE AND BONUS AMOUNT, AND PARTICIPATES IN THE EXECUTIVE COMMITTEE OF THE BOARD MEETING WHICH EVALUATES THE PRESIDENT'S PERFORMANCE. THE HUMAN RESOURCES DIRECTOR TAKES NOTES /MINUTES OF THIS PORTION OF THE MEETING TO DOCUMENT THE DECISION MADE REGARDING THE PRESIDENT'S ANNUAL SALARY.

HOW DOCUMENTS ARE MADE AVAILABLE TO THE PUBLIC

FORM 990, PART VI, LINE 19

THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC TO THE EXTENT REQUIRED BY LAW.

OTHER CHANGES IN NET ASSETS OR FUND BALANCES

FORM 990, PART XI, LINE 9

NET ASSETS OF RELATED ENTITIES                      \$1,607,900

Name of the organization WORLD RESOURCES INSTITUTE	Employer identification number 52-1257057
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UNREALIZED FOREIGN TRANSLATION GAIN                    \$20,239  
-----  
TOTAL    \$1,628,139

ATTACHMENT 1

FORM 990, PART V, LINE 4B - FOREIGN COUNTRIES

CHINA  
INDIA  
CONGO (KINSHASA)  
UNITED KINGDOM  
NETHERLANDS

ATTACHMENT 2

FORM 990, PART VI, LINE 17 - STATES

AL, AK, AZ, AR, CA, CO, CT, DE,  
DC, FL, GA, HI, IL, KS, KY, LA, ME, MD, MA, MI,  
MN, MS, MT, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA,  
RI, SC, TN, UT, VA, WA, WV, WI,

ATTACHMENT 3

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

<u>NAME AND ADDRESS</u>	<u>DESCRIPTION OF SERVICES</u>	<u>COMPENSATION</u>
VIZZUALITY CALLE ELOY GONZALO, 27 MADRID SPAIN 28010	CONSULTING	1,429,285.
ASI PUDJIASTUTI GEOSURVEY JL. KI MANGUNSARKORO NO.21, RT. 1/RW.4	CONSULTING	1,106,528.

Name of the organization WORLD RESOURCES INSTITUTE	Employer identification number 52-1257057
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ATTACHMENT 3 (CONT'D)

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

<u>NAME AND ADDRESS</u>	<u>DESCRIPTION OF SERVICES</u>	<u>COMPENSATION</u>
MENTENG KOTA JAKARTA PUSAT DAERAH KHUSUS IBUKOTA JAKARTA INDONESIA 10310		
PT. EXSA INTERNATIONAL JL. TOMANG RAYA NO.74, JATIPULO PALMERAH KOTA JAKARTA BARAT DAERAH KHUSUS IBUKOTA JAKARTA INDONESIA 11430	CONSULTING	878,396.
MINDSHIFT TECHNOLOGIES 307 WAVERLEY OAKS ROAD WALTHAM, MA 02452	IT SUPPORT	610,005.
PORTAL SOLUTIONS LLC 7920 NORFOLK AVE #550 BETHESDA, MD 20814	CONSULTING	373,478.

**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**

▶ **Attach to Form 990.**

▶ **Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Department of the Treasury  
Internal Revenue Service

Name of the organization

WORLD RESOURCES INSTITUTE

Employer identification number

52-1257057

**Part I Identification of Disregarded Entities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

**Part II Identification of Related Tax-Exempt Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) WORLD RESOURCES INSTITUTE FUND 10 G STREET, NE WASHINGTON, DC 20002 52-1464425	SUPPORT	DC	501(C)(3)	12 - TYPE I	WRI	X	
(2) WRI EUROPE STICHTING C/O FMO, ANNA VAN SAKSENLAAN 71 DEN HAAG, NL 2593	SUPPORT	NL	501(C)(3)	12 - TYPE I	WRI	X	
(3)							
(4)							
(5)							
(6)							
(7)							

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2016

**Part III Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1)												
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1) WRI - ENVIRON. MAN. CONSULTANCY CO, LTD. NO. 6 B CHAOWAI STREET 100020 BEIJING, CHAOYANG DISTRICT	FUNCTION. SUPPORT	CH	WRI	C CORP	-1,492,022.	1,844,904.	100.0000	X	
(2) WRI INDIA PRIVATE UNLIMITED 87 NEW MANGAI PURI, MEHRAULI GURGAO NEW DEHLI, DL IN	FUNCTION. SUPPORT	IN	WRI	C CORP	328,384.	546,114.	100.0000	X	
(3)									
(4)									
(5)									
(6)									
(7)									

**Part V Transactions With Related Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of <b>(i)</b> interest, <b>(ii)</b> annuities, <b>(iii)</b> royalties, or <b>(iv)</b> rent from a controlled entity . . . . .		X
<b>b</b> Gift, grant, or capital contribution to related organization(s) . . . . .	X	
<b>c</b> Gift, grant, or capital contribution from related organization(s) . . . . .		X
<b>d</b> Loans or loan guarantees to or for related organization(s) . . . . .		X
<b>e</b> Loans or loan guarantees by related organization(s) . . . . .		X
<b>f</b> Dividends from related organization(s) . . . . .		
<b>g</b> Sale of assets to related organization(s) . . . . .		X
<b>h</b> Purchase of assets from related organization(s) . . . . .		X
<b>i</b> Exchange of assets with related organization(s) . . . . .		X
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s) . . . . .		X
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s) . . . . .		X
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s) . . . . .		X
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s) . . . . .		X
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) . . . . .		X
<b>o</b> Sharing of paid employees with related organization(s) . . . . .		X
<b>p</b> Reimbursement paid to related organization(s) for expenses . . . . .		X
<b>q</b> Reimbursement paid by related organization(s) for expenses . . . . .		X
<b>r</b> Other transfer of cash or property to related organization(s) . . . . .		X
<b>s</b> Other transfer of cash or property from related organization(s) . . . . .		X

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	WRI - ENVIRON. MAN. CONSULTANCY CO, LTD.	B	3,000,080.	CASH
(2)	WRI INDIA PRIVATE UNLIMITED	B	2,400,000.	CASH
(3)	WRI EUROPE	B	426,104.	CASH
(4)				
(5)				
(6)				



**Part VI** **Unrelated Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													
(8)													
(9)													
(10)													
(11)													
(12)													
(13)													
(14)													
(15)													
(16)													

**Part VII**

**Supplemental Information**

Provide additional information for responses to questions on Schedule R. See instructions.

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